



Department of Energy
Oakland Operations Office
1301 Clay Street
Oakland, California 94612-5208
AUG 22 2000

CERTIFIED MAIL
RETURN RECEIPT REQUESTED

Mr. Brian D. Lefort
Jones, Day, Reavis & Pogue
51 Louisiana Avenue, N.W.
Washington, D.C. 20001-2113

Subject: Freedom of Information Act Request - #2000-OK-50

Dear Mr. Lefort:

This is in response to your revised Freedom of Information Act (FOIA) request of July 8, 2000. We originally responded to you on July 27, 2000. Regarding the Department of Energy Contract DE-ACO3-76SF00098, you asked for the the 1987 and 1992 supplements to the contract including the terms and conditions and modifications.

Enclosed are the 1987 contract terms and conditions and the 1992 contract terms and conditions and the 1992 modifications.

The modifications for the 1987 contract are located at the Federal Records Center and have been requested by this office. Upon receipt, review, and reproduction, they will be provided.

The amount due for our first submission was \$41.16. The amount due for this submission is \$221.45 for searching and reproduction. The total to date is \$262.61. You will be advised of the final amount when the last set of documents is provided.

If you have any questions, please contact RoseAnn Pelzner, the FOIA Officer at (510) 637-3195.

Sincerely,

Douglas A. Ash
Chief of Staff
FOIA Authorizing Official

Enclosures



Department of Energy

Oakland Operations Office
1301 Clay Street
Oakland, California 94612-5208

AUG 22 2000

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Mr. Brian D. Lefort
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51 Louisiana Avenue, N.W.
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Subject: Freedom of Information Act Request - #2000-OK-50

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Enclosed are the 1987 contract terms and conditions and the 1992 contract terms and conditions and the 1992 modifications.

The modifications for the 1987 contract are located at the Federal Records Center and have been requested by this office. Upon receipt, review, and reproduction, they will be provided.

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Sincerely,

Douglas A. Ash
Chief of Staff
FOIA Authorizing Official

Enclosures

bcc w/o encls: J. Belluardo, OPA
R. Promani, CAPD

Table with columns: CONCURRENCES, ATE SYMBOL, INITIALS/SIG, DATE. Contains entries for FOIA, PELZNER (8/17/00), ASH (8/18/00).



Department of Energy

Oakland Operations Office
1301 Clay Street
Oakland, California 94612-5208

JUL 27 2000

CERTIFIED MAIL
RETURN RECEIPT REQUESTED

Mr. Brian D. Lefort
Jones, Day, Reavis & Pogue
51 Louisiana Avenue, N.W.
Washington, D.C. 20001-2113

Subject: Freedom of Information Act Request - #2000-OK-50

Dear Mr. Lefort:

This office is in receipt of your July 8, 2000 Freedom of Information Act (FOIA) request. You requested various documents regarding the Department of Energy Contract DE-ACO3-76SF00098.

In full compliance with your request, the documents are provided without deletions.

You had agreed to pay all fees. The fees are as follows: search and review time is one hour which equals \$27.26 and the reproduction costs of 278 pages at \$.05 per page is \$13.90, for a grand total of \$41.16. When a voucher is sent, please submit your fees made payable to the Treasurer of the U.S.

If you have any questions, please contact RoseAnn Pelzner, the FOIA Officer at (510) 637-3195.

Sincerely,

A handwritten signature in black ink, appearing to read "Douglas A. Ash".

Douglas A. Ash
Chief of Staff
FOIA Authorizing Official

Enclosures



Department of Energy

Oakland Operations Office

1301 Clay Street

Oakland, California 94612-5208

SEP 28 2000

CERTIFIED MAIL
RETURN RECEIPT REQUESTED

Mr. Brian D. Lefort
Jones, Day, Reavis & Pogue
51 Louisiana Avenue, N.W.
Washington, D.C. 20001-2113

Subject: Freedom of Information Act Request - #2000-OK-50

Dear Mr. Lefort:

This is in final response to your revised Freedom of Information Act (FOIA) request of July 8, 2000. We originally responded to you on July 27, 2000. Regarding the Department of Energy Contract DE-ACO3-76SF00098, you asked for the 1987 and 1992 supplements to the contract including the terms and conditions and modifications. We then provided you documents on August 22.

Enclosed now are the modifications for the 1987 contract which were retrieved from the Federal Records Center, and which you specifically requested after reviewing the index we provided you.

The amount due for our first two submissions is \$262.61. With the enclosures there is an additional charge of \$3.00, so the total is \$265.61. An invoice will follow.

If you have any questions, please contact RoseAnn Pelzner, the FOIA Officer at (510) 637-3195.

Sincerely,

A handwritten signature in black ink, appearing to read "D. Ash".

Douglas A. Ash
Chief of Staff
FOIA Authorizing Official

Enclosures

RECEIVED
JUL 19 2000
BY: _____

**U. S. Department of Energy
Oakland Operations Office**

DATE: 7/10/00

REPLY TO: RoseAnn Pelzner, DOE/OAK FOIA OFFICER *Amended*
SUBJECT: REQUEST FOR ASSISTANCE ON FOIA CASE #: 2000-OAK-50
TO: Bill Daubenspeck, IPLD

Your assistance is needed to search for records responsive to the attached Freedom of Information Act (FOIA) Request. All responsive records are to be sent to me, the DOE/OAK FOIA Officer, for review prior to release. Questions regarding the request are to be directed to me and I will thereafter contact the requester if the need exists.

The responsive records must be provided to me by: 7/18/00
If this date cannot be met advise me as soon as possible so that I may inform the requester of the delay and the reason why we are unable to respond to the request within the 20 days required by law. If the request is too broad or is not specific enough please notify me immediately.

The requester has agreed to pay \$ yes! for records responsive to this request. If the search and copying costs for this request will exceed this amount, please contact me immediately. [optional]

In order to assure that fees are properly assessed for this request please provide the following information with the responsive records:
Time spent searching for and retrieving records: # 12 hours
Hourly salary of searching/retrieving employee: \$ 23.50 = 11.75 + Frank
Number of pages provided in response to Request: # 228 pages 1/2 hr.

If you have any questions regarding this matter please call me at (510) 637-3195. My fax number is (510) 637-2015. Thank you for your prompt attention to this matter. I remain looking forward to hearing from you by the deadline.

Rose Ann Pelzner

RoseAnn Pelzner
FOIA Officer

23.50
X .16
141.00
2350.00
376.00
23.50
+ 376
\$ 27.26

U. S. Department of Energy
Oakland Operations Office

DATE: 7/10/00

REPLY TO: RoseAnn Pelzner, DOE/OAK FOIA OFFICER *Amended*
SUBJECT: REQUEST FOR ASSISTANCE ON FOIA CASE #: 2000-OK-50
TO: *Bill Daubenspeck, IPLD*

Your assistance is needed to search for records responsive to the attached Freedom of Information Act (FOIA) Request. All responsive records are to be sent to me, the DOE/OAK FOIA Officer, for review prior to release. Questions regarding the request are to be directed to me and I will thereafter contact the requester if the need exists.

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The requester has agreed to pay \$ yes! for records responsive to this request. If the search and copying costs for this request will exceed this amount, please contact me immediately. [optional]

In order to assure that fees are properly assessed for this request please provide the following information with the responsive records:
Time spent searching for and retrieving records: \$ _____ hours
Hourly salary of searching/retrieving employee: \$ _____
Number of pages provided in response to Request: \$ _____ pages

If you have any questions regarding this matter please call me at (510) 637-3195. My fax number is (510) 637-2015. Thank you for your prompt attention to this matter. I remain looking forward to hearing from you by the deadline.


RoseAnn Pelzner
FOIA Officer

JONES, DAY, REAVIS & POGUE

51 LOUISIANA AVENUE, N.W.

WASHINGTON, D.C. 20001-2113

TELEPHONE: 202-679-3939 • FACSIMILE: 202-626-1700

WRITER'S DIRECT NUMBER:

(202) 879-3727



July 8, 2000

JUL 10 2000

Received OPAT/OK

VIA FEDEX

Ms. RoseAnne Pelzner
Freedom of Information Act Officer
U.S. Department of Energy
FOIA/Privacy Act Division
1301 Clay Street
Oakland, California 94612

Re: Third Freedom of Information Request

Dear Ms. Pelzner:

In reply to your letter dated June 22, 2000, I would like to resubmit my request under 5 U.S.C. § 552, as amended, which is typically referred to as the Freedom of Information Act (FOIA).

As you suggested, I have narrowed my request to documents relating to Mr. Allan Konrad's involvement in Department of Energy Contract No. DE-AC03-76SF00098 (hereinafter, "the Contract"). However, I will also need copies of the 1987 and 1992 supplements to the Contract (hereinafter, "the Supplements"). Therefore, I hereby respectfully request the following documents:

1. the Supplements themselves;
2. any document listing or describing U.S. Patent Application Serial No. 08/001,982 filed January 8, 1993 as an invention developed under the Supplements;
3. any document listing or describing U.S. Patent Application Serial No. 08/481,642 filed June 7, 1995, which issued as U.S. Patent No. 5,544,320, as an invention developed under the Supplements;
4. any document listing or describing U.S. Patent Application Serial No. 08/653,556 filed May 24, 1996, which issued as U.S. Patent No. 5,696,901, as an invention developed under the Supplements;

WA-1204680

Ms. RoseAnne Pelzner

July 8, 2000

Page 2

5. any document listing or describing U.S. Patent Application Serial No. 08/911,590 filed August 14, 1997, which issued as U.S. Patent No. 5,974,444, as an invention developed under the Supplements;
6. any proposal submitted by Allan Konrad;
7. all interim reports written by or that include a reference to Allan Konrad, including the reporting of any invention developed by Allan Konrad under the Supplements;
8. all final reports written by or that include a reference to Allan Konrad, including the reporting of any invention developed by Allan Konrad under the Supplements;
9. any document listing or mentioning Allan Konrad as an inventor of an invention developed under the Supplements;
10. any document describing work performed by, or reflecting the role or involvement of, Allan Konrad in performance of the Supplements.
11. any technology transfer agreement relating to intellectual property developed by Allan Konrad under the Supplements;
12. any technology transfer agreement that grants Allan Konrad title to any invention developed under the Supplements; and
13. any assignment document relating to the assignment of an invention, patent or other form of intellectual property developed by Allan Konrad under the Supplements.

I am prepared to pay any and all costs for locating the referenced file and reproducing the requested records.

Additionally, the FOIA provides that if only portions of a file are exempted from release, the remainder must be released. I, therefore, request that I be provided with all non-exempt portions which are reasonably segregable. I, of course, reserve my right to appeal the withholding or deletion of any information.

Per 10 C.F.R. 1004.4(b), I also wish to inform you that U.S. Patent Nos. 5,544,320 and 5,696,901 and 5,974,444 relate to the subject matter of a pending litigation matter. These patents reference the Contract and state that the U.S. Government has certain rights associated with these patents. The pending litigation matter is entitled *Allan M. Konrad vs. General Motors, et al.* and is located in the United States District Court, Eastern District of Texas, Beaumont Division. This case can be identified by docket no. 1:00CV21.

Very truly yours,



Brian D. Lefort

cc: Peter Garvin, Esq.
David Witcoff, Esq.
Krista Schwartz, Esq.
Marc Campagna, Esq.

FILE COPY

June 22, 2000

Jones, Day, Reavis & Pogue
ATTN: Mr. Brian Lefort
51 Louisiana Avenue, N.W.
Washington, D.C. 20001-2113

SUBJECT: Freedom of Information Act Request - #2000-OK-50

Dear Mr. Lefort:

This letter is to acknowledge that I have received your new Freedom of Information Act (FOIA) request of June 20, 2000. In accordance with the Department of Energy's (DOE) FOIA regulations, found at Title 10 Code of Federal Regulations (CFR), Part 1004, I cannot consider your FOIA request received for several reasons:

Your items 3-8, 14, and 15, require a very substantial effort to provide a voluminous number of documents. The request asks for all the documents in our patent docket files for each invention disclosure submitted by Lawrence Berkeley National Laboratory from October 1, 1987, through September 30, 1992, under the LBNL M&O Contract. During this period, LBNL submitted 1195 invention disclosures, 432 of these were filed as patent applications, and 350 resulted in issued patents. There is a very large number of documents associated with these invention disclosures. Each docket may contain an average of 25 pages or more, which would result in a total of around 30,000 pages. It would be a very large task to review the 1195 files to determine if the information therein is releasable and then copy appropriate documents if they are releasable. This would be a unreasonably burdensome, disruptive, and costly task and would involve many personnel to accomplish this.

You have stated you would pay all "reasonable fees". What does reasonable mean? Please be specific with the fees and narrow your request to a more reasonable effort. It appears to be a "fishing expedition".

Your specific request for documents related to three identified patents in which Allan Konrad is the inventor and to the work of Mr. Konrad may more easily be provided. Perhaps you can narrow and revise your request to items 9, 10, 11, 12, 16, and 17 at this time with a specific sum certain you would be willing to

pay. Thereafter, you could narrow your request for the other information .

According to the DOE FOIA regulations, "the request must enable the DOE to identify and locate the records sought by a process that is not unreasonably burdensome or disruptive of DOE operations." 10 CFR 1004.(c). Please call me so that we can discuss how you could restate your request to make it more manageable. I will also need in writing your assurance to pay a sum certain. If I do not hear from you by June 30, I will consider your request withdrawn and will close the file. Also, I did want to advise that the FOIA has changed to allow 20 working days to respond.

If interested, please call me at (510) 637-3195.

Sincerely,

RS

RoseAnn Pelzner
FOIA Officer

pelzner, roseann

From: daubenspeck, bill
Sent: Thursday, June 22, 2000 10:36 AM
To: pelzner, roseann
Subject: LeFort FOIA Request

Regarding the request for documents from Mr. LeFort of June 20, 2000, providing items 3-8, 14, and 15 (taken together) requires a very substantial effort to provide a very substantial number of documents. The request asks essentially for all the documents in IPLD's patent docket files for each invention disclosure submitted by LBNL from October 1, 1987 through September 30, 1997 under the LBNL M&O Contract. During this period, LBNL submitted 1195 invention disclosures, 432 of these were filed as patent applications, and 350 resulted in issued patents. There is a very large number of documents associated with these invention disclosures. I would guess that each docket may contain an average of 25 pages (or more), which would result in a total of around 30,000 pages. It would be a very large task to review the 1195 files to determine if the information therein is releasable and then copy appropriate documents if they are releasable. I hesitate to make a real estimate of time and personnel required to accomplish this task, but it is very substantial.

Mr. LeFort's specific request for documents related to three identified patents in which Allan Conrad is the inventor and to the work of Mr. Conrad may more easily be provided.

U.S. DEPARTMENT OF ENERGY
OAKLAND OPERATIONS OFFICE
OFFICE OF PUBLIC AFFAIRS
1301 CLAY STREET, 700N
OAKLAND, CA 94612-5208

UNCLASSIFIED FAX MESSAGE

TO: Judd Hightower FAX #: (7) 2014
Patent Law

DATE: 6/21/00

Message Consists of
this Cover Sheet and
3 Pages

FROM: RoseAnn Pelzner-Goodwin
FOIA Officer, OPA, DOE/OAK

MESSAGE:

I will be sending this back to the requester
requesting some additional info. However, he
makes mention on page 3 of a litigation patent
case. For your review and comments back to
me. Thank you!

JONES, DAY, REAVIS & POGUE

51 LOUISIANA AVENUE, N.W.

WASHINGTON, D.C. 20001-2113

TELEPHONE: 202-879-3939 • FACSIMILE: 202-626-1700

WRITER'S DIRECT NUMBER:

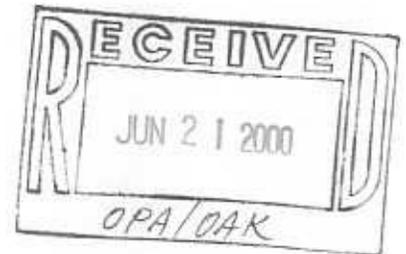
(202) 879-3727

June 20, 2000

VIA FEDEX

Ms. Rosanne Pelzner-Goodwin
 Freedom of Information Act Officer
 U.S. Department of Energy
 FOIA/Privacy Act Division
 1301 Clay Street
 Oakland, California 94612

Re: Second Freedom of Information
 Request



Dear Ms. Pelzner-Goodwin:

In reply to your letter dated June 7, 2000, I would like to resubmit my request under 5 U.S.C. § 552, as amended, which is typically referred to as the Freedom of Information Act (FOIA). Moreover, this request is compliant with 10 C.F.R. 1004.4, which specifies the elements of a FOIA request addressed to the Department of Energy (DOE).

In a letter dated May 16, 2000, I requested certain records and files pertaining to Department of Energy Contract No. DE-AC03-76SF00098 (hereinafter, "the Contract"). Your June 7 response included a reference to an internet web site and instructions to retrieve the Contract therefrom. Upon accessing this web site, I was able to view the Contract. However, the web site only included the latest version of the Contract, which is dated 1997. Furthermore, the web site did not contain any of the additional types of documents that I originally requested.

It is my understanding that the Contract was originally executed in 1943, and numerous supplements have been executed since that time. Specifically, it is my understanding that there are 1987 and 1992 supplemental versions of the Contract. Therefore, I am writing to amend my original request for copies of all records and files pertaining to the 1987 and 1992 supplements of the Contract (hereinafter, "the Supplements"), including without limitation the following:

WA-1202718

Ms. Rosanne Pelzner-Goodwin
 June 20, 2000
 Page 2

1. any proposal relating to the Supplements;
2. the Supplements themselves;
3. all interim reports, including the report of any inventions developed under the Supplements;
4. the final report, including the report of all inventions developed under the Supplements;
5. any document disclosing or reporting the existence of an invention developed under the Supplements;
6. any document informing the government that the Contractor elected to retain title to an invention developed under the Supplements;
7. any document requesting an extension of time to file a patent application based upon an invention developed under the Supplements;
8. a report, such as a "Confirmatory Instrument", informing the government that a patent application describing an invention developed under the Supplements was filed;
- ✓ 9. any document listing or describing U.S. Patent Application Serial No. 08/001,982 filed January 8, 1993 as an invention developed under the Supplements;
- ✓ 10. any document listing or describing U.S. Patent Application Serial No. 08/481,642 filed June 7, 1995, which issued as U.S. Patent No. 5,544,320, as an invention developed under the Supplements;
- ✓ 11. any document listing or describing U.S. Patent Application Serial No. 08/653,556 filed May 24, 1996, which issued as U.S. Patent No. 5,696,901, as an invention developed under the Supplements;
- ✓ 12. any document listing or describing U.S. Patent Application Serial No. 08/911,590 filed August 14, 1997, which issued as U.S. Patent No. 5,974,444, as an invention developed under the Supplements;
13. any technology transfer agreement relating to intellectual property developed under the Supplements;
14. any assignment document relating to the assignment of an invention, patent or other form of intellectual property developed under the Supplements;
15. any document listing or describing an invention developed under the Supplements;
- ✓ 16. any document listing or mentioning Allan Konrad as an inventor of an invention developed under the Supplements; and
- ✓ 17. any documents comprising work performed by, or reflecting the role or involvement of Allan Konrad in performance of the Supplements.

I am prepared to pay reasonable costs for locating the referenced file and reproducing the requested records.

already gave
to scan smith
99-0K-55

Ms. Rosanne Pelzner-Goodwin
June 20, 2000
Page 3

Additionally, the FOIA provides that if only portions of a file are exempted from release, the remainder must be released. I, therefore, request that I be provided with all non-exempt portions which are reasonably segregable. I, of course, reserve my right to appeal the withholding or deletion of any information.

→ Per 10 C.F.R. 1004.4(b), I also wish to inform you that U.S. Patent Nos. 5,544,320 and 5,696,901 and 5,974,444 relate to the subject matter of a pending litigation matter. These patents reference the Contract and state that the U.S. Government has certain rights associated with these patents. The pending litigation matter is entitled *Allan M. Konrad vs. General Motors, et al.* and is located in the United States District Court, Eastern District of Texas, Beaumont Division. This case can be identified by docket no. 1:00CV21.

As provided in the FOIA, I will expect to receive a reply within ten business days. However, if in the meantime, you have any questions, please feel free to contact me at my direct number listed above.

Very truly yours,



Brian D. Lefort

cc: Peter Garvin, Esq.
James Wamsley, Esq.
Scott Burt, Esq.

FOIA CASE # 2000-OK-56

8/9/00

Attached is the information that you requested on FOIA Case #2000-OK-56 for the 1987 Contract **DE-AC03-76SF00098**. These contract records are still at the Federal Records Center and have been requested on 8/9/00. When these contract Records are received, they will need to be copied and returned to FRC.

Active?	Box No.	User Box No.	Date Created	Ending Date	Destroyed Date
LLNL/OIG-A					
Inactive	1546	OIG/96/01 OF 04	08/25/1999	09/30/1992	10/01/2000
AUDIT REPORTS AND WORKPAPERS COMPLETED FY92 () (4 BOXES) AUDIT CS FLS (GRS/22/2) (D2000/10) 434-00-0350 / 89922 - 89925 / B / A / N					
FRC - § FEDERAL RECORDS CENTER - SAN BRUN					
OAK/CAPD					
Inactive	1435	CAPD/01 OF 23	08/10/1999	09/04/1986	
LBNL CONTRACTS SF00098 FY82 - FY91 () (23 BOXES) CONTRACTS OVER 100K (G3/03/A1) (1/1/2005) 434-00-0086 / B / A / N / 43976 - 43998					
FRC - § FEDERAL RECORDS CENTER - SAN BRUN					
OAK/CAPD					
Inactive	1436	CAPD/02 OF 23	08/10/1999	09/29/1989	
LBNL CONTRACT SF00098 FY82 - FY91 () (23 BOXES) CONTRACTS OVER 100K (G3/03/A1) (1/1/2005) 434-00-0086 / B / A / N / 43976 - 43998					
FRC - § FEDERAL RECORDS CENTER - SAN BRUN					
OAK/CAPD					
Inactive	1464	CAPD/03 OF 23	08/24/1999	12/16/1991	
LBNL CONTRACT SF00098 / FY82 - FY91 / BOX 3/10 () (23 BOXES) CONTRACTS OVER 100K (G3/03/A1) (1/1/2005) 434-00-0086 / B / A / N / 43976 - 43998					
FRC - § FEDERAL RECORDS CENTER - SAN BRUN					
OAK/CAPD					
Inactive	1465	CAPD/04 OF 23	08/24/1999	07/31/1991	
LBNL CONTRACT SF00098 FY82 - FY91 () (23 BOXES) CONTRACTS OVER 100K (G3/03/A1) (1/1/2005) 434-00-0086 / B / A / N / 43976 - 43998					
FRC - § FEDERAL RECORDS CENTER - SAN BRUN					
OAK/CAPD					
Inactive	1466	CAPD/05 OF 23	08/24/1999	03/31/1991	
LBNL CONTRACT SF00098 FY82 - FY91 () (23 BOXES) CONTRACTS OVER 100K (G3/03/A1) (1/1/2005) 434-00-0086 / B / A / N / 43976 - 43998					
FRC - § FEDERAL RECORDS CENTER - SAN BRUN					
OAK/CAPD					
Inactive	1492	CAPD/06 OF 23	08/25/1999	10/31/1991	
LBNL CONTRACT SF00098 / FY82 - FY91 / BOX 6/10 () (23 BOXES) CONTRACTS OVER 100K (G3/03/A1) (1/1/2005) 434-00-0086 / B / A / N / 43976 - 43998					
FRC - § FEDERAL RECORDS CENTER - SAN BRUN					

OAK/CAPD

Active?	Box No.	User	Box No.	Date Created	Ending Date	Destroyed Date
Inactive	1512	CAPD/07 OF 23		08/25/1999		09/25/1991
LBNL CONTRACT SF00098 FY82 - FY91 () (23 BOXES) CONTRACTS OVER 100K (G3/03/A1) (1/1/2005) 434-00-0086 / B / A / N / 43976 - 43998						
FRC - § FEDERAL RECORDS CENTER - SAN BRUN						
OAK/CAPD						
Inactive	1550	CAPD/08 OF 23		08/25/1999		02/22/1991
LBNL CONTRACT SF00098 FY82 - FY91 BOX 8/10 () (23 BOXES) CONTRACTS OVER 100K (G3/03/A1) (1/1/2005) 434-00-0086 / B / A / N / 43976 - 43998						
FRC - § FEDERAL RECORDS CENTER - SAN BRUN						
OAK/CAPD						
Inactive	1556	CAPD/09 OF 23		08/26/1999		09/25/1991
LBNL CONTRACT SF00098 FY82 - FY91 () (23 BOXES) CONTRACTS OVER 100K (G3/03/A1) (1/1/2005) 434-00-0086 / B / A / N / 43976 - 43998						
FRC - § FEDERAL RECORDS CENTER - SAN BRUN						
OAK/CAPD						
Inactive	1557	CAPD/10 OF 23		08/26/1999		12/16/1991
LBNL CONTRACT SF00098 FY82 - FY91 () (23 BOXES) CONTRACTS OVER 100K (G3/03/A1) (1/1/2005) 434-00-0086 / B / A / N / 43976 - 43998						
FRC - § FEDERAL RECORDS CENTER - SAN BRUN						
Inactive	1557	CAPD/10 OF 23		08/26/1999		12/16/1991
LBNL CONTRACT SF00098 FY82 - FY91 () (23 BOXES) CONTRACTS OVER 100K (G3/03/A1) (1/1/2005) 434-00-0086 / B / A / N / 43976 - 43998						
FRC - § FEDERAL RECORDS CENTER - SAN BRUN						
LNL/OIG-A						
Inactive	1546	OIG/96/01 OF 04		08/25/1999	09/30/1992	10/01/2000
AUDIT REPORTS AND WORKPAPERS COMPLETED FY92 () (4 BOXES) AUDIT CS FLS (GRS/22/3) (D2000/10) 434-96-0350 / 89922 - 89925 / B / A / N						
FRC - § FEDERAL RECORDS CENTER - SAN BRUN						

US DEPARTMENT OF ENERGY /OAK OPS OFFICE

Box Detail Report in lieu of OF-212 Form

08/07/2000

Department	Box Number	User Box Number	Creation Date	To Date	Bar Code Number
LLNL/OIG-A	1546	OIG/96/01 OF 04	08/25/1999	09/30/1992	%00001547

For Records Holding Area Only:

AUDIT REPORTS AND WORKPAPERS COMPLETED FY92

() (4 BOXES) AUDIT CS FLS (GRS/22/2) (D2000/10)

434-96-0350 / 89922 - 89925 / B / A / N

AUDIT REPORTS/WOREPAPERS
INSPECTOR GENERAL DOCUMENTS

Audit of Internal Controls that Assure Costs Claimed by and Reimbursed to LBL are Allowable under Contract No. DE-AC08-76SF00098 (SF00098)

Report No. : (unknown)

Report Date:(unknown)

No. of Folders: 4 of 4

Task No. XQ 2419

FY1992

Lawrence Berkeley Laboratory, FY 1992 VANEA

Audit No. A92LL055

Vanea, Verification File (1)

FY1992

Audit of Internal Controls that Assure Costs Claimed by and Reimbursed to ETEC are Allowable under Contract No.

DE-AC03-76SR00700 (SF00700)

Report No. : WR-VC-93-4

Report Date: 3/3/93

No. of-Folders: 3 of 3

Task No. XQ 2421

FY1992

ETEC, FY 1992 Internal Controls

Audit No.: A92LL054

No. of Folders, 1

FY1992

FY 1992 Audit of Imprest Funds at San Francisco and its M&O Contractors

Report No. and Date.: (unknown) 9/93

Audit No.: A93LL034

FY1992

Award of the Contract for Help Wanted Advertising at Lawrence Berkeley Laboratory

Report No. : WR-L-92-19

Report Date: 7/10/92

FY1992

Audit of Internal Controls that Assure FY 1989 Costs Claimed by and Reimbursed to Energy Technology Engineering Center are Allowable under DOE Contract DE-AC03-76SF00700 (SF00700)

Report No.: WR-VC-91-10

Report Date: 2/14/91

No. of Folders: (1)

FY1989

(files to remain together)

08/25/1999 4:44:50 PM

Signature of Records Officer: _____

Sharon Adams

US DEPARTMENT OF ENERGY /OAK OPS OFFICE

Box Detail Report in lieu of OF-212 Form

08/07/2000

Department	Box Number	User Box Number	Creation Date	To Date	Bar Code Number
OAK/CAPD	1435	CAPD/01 OF 23	08/10/1999	09/04/1986	%00001436

For Records Holding Area Only:

LBL CONTRACTS SF00098 FY82 - FY91

() (23 BOXES) CONTRACTS OVER 100K (G3/03/A1) (1/1/2005)

434-00-0086 / B / A / N / 43976 - 43998

LBL ARCHIVES BOX ONE CONTRACT NO DE-AC03-76SF00098

Folder One Description Date

File 1-1

Record of Negotiation Procurement Action

Extend Compete Recommendation

Contract # DE-AC03-76SF00098 02/02/82

File Folder Two

A001	obligating funds	05/07/82	
A002	obligating funds	05/25/82	
M003	delete clause 41	07/27/82	
A004	obligating funds	06/29/82	
A005	obligating funds	08/31/82	
A006	obligating funds	09/29/82	
A007	obligating funds	11/01/82	
A008	obligating funds	12/17/82	
A009	obligating funds	02/28/83	
M010	Supplemental Agreement Separating LBL and LLNL contract	04/06/83	
A011	obligating funds	04/01/83	
A012	obligating funds	05/26/83	
A013	obligating funds	07/29/83	
A014	obligating funds	09/29/83	
A016	obligating funds	11/04/83	
A017	obligating funds	12/28/83	
A018	obligating funds	01/31/84	
A019	obligating funds	03/30/84	
A020	obligating funds	06/01/84	
A021	obligating funds	07/31/84	
A022	obligating funds	08/22/84	
A023	obligating funds	09/28/84	
A024	obligating funds	11/06/84	
A025	obligating funds	12/19/84	
M026	clause 6, clause 18 and clause 53 revised, Appendix D replaced w/ Appendix D-1	02/07/85	
A027	obligating funds	02/06/85	
A028	obligating funds	04/22/85	
A029	obligating funds	04/24/85	
A030	obligating funds	06/13/85	
A031	obligating funds	07/01/85	
A032	obligating funds	08/28/85	
A033	obligating funds	09/05/85	
A034	obligating funds	09/30/85	
A035	obligating funds and clause 6 revised	12/27/85	
A036	obligating funds	01/10/86	
A037	obligating funds	01/10/86	
A038	obligating funds	02/26/86	
A039	obligating funds	04/11/86	
A040	obligating funds	04/15/86	
A041	obligating funds	04/17/86	
A042	obligating funds	05/28/86	
A043	obligating funds	06/20/86	
A044	obligating funds	08/01/86	
A045	obligating funds	09/04/86	

08/10/1999 9:46:55 AM

LBL ARCHIVES BOX ONE CONTRACT NO DE-AC03-76SF00098

Folder Three Description Date

A046	obligating funds	09/25/86
A047	obligating funds	09/30/86
A048	obligating funds	11/04/86

Signature of Records Officer: _____

Sharon Adams

US DEPARTMENT OF ENERGY /OAK OPS OFFICE

Box Detail Report in lieu of OF-212 Form

08/07/2000

Department	Box Number	User Box Number	Creation Date	To Date	Bar Code Number
A049	clause 6 revised		11/13/86		
A050	obligating funds		12/08/86		
A051	obligating funds		12/31/86		
A052	obligating funds		01/28/87		
A053	obligating funds		03/02/87		
A054	obligating funds		04/03/87		
A055	obligating funds		05/04/87		
A056	obligating funds		06/04/87		
A057	obligating funds		07/07/87		
A058	obligating funds		07/31/87		
A059	obligating funds		09/03/87		
M060	clause 6 revised		09/18/87		
M061	supplemental agreement 5 year renewal of contract		09/18/87		
Folder Four					
A062	obligating funds		09/30/87		
A063	obligating funds		10/28/87		
A064	obligating funds		12/02/87		
A065	obligating funds		01/05/88		
A066	obligating funds		01/15/88		
A067	obligating funds		02/26/88		
A068	obligating funds		04/01/88		
A069	obligating funds		04/26/88		
A070	obligating funds		06/03/88		
A071	obligating funds		07/01/88		
A072	obligating funds		07/29/88		
A073	obligating funds		08/30/88		
A074	obligating funds		09/23/88		
A075	obligating funds		09/30/88		
A076	obligating funds		10/25/88		
A077	obligating funds		10/28/88		
A078	obligating funds		11/29/88		
A079	obligating funds		12/21/88		
A080	obligating funds		02/06/89		
A081	clause 6 revised		02/28/89		
A082	obligating funds		03/20/89		
A083	obligating funds		03/27/89		
A084	obligating funds		04/26/89		
A085	obligating funds		05/30/89		
A086	obligating funds		06/29/89		
M087	obligating funds		07/27/89		
A088	clause 64 added		09/22/89		
A089	obligating funds		08/31/89		
M090	continue performance of the audit function upon expiration of Contract # DE-AC03-82SF11667, clause 11 revised		09/29/89		
A091	obligating funds		09/19/89		
A092	obligating funds		09/29/89		

02/16/2000 3:41:04 PM

FOLDER 5

A093 - A112

A114 - A127

NOTICE OF RECEIPT OF SUBJECT MODIFICATION

FOLDER 6

LBNL FILE 2

EXTEND/COMPETE PACKAGE

02/16/2000 5:05:38 PM

Signature of Records Officer: _____

Sharon Adams

US DEPARTMENT OF ENERGY /OAK OPS OFFICE

Box Detail Report in lieu of OF-212 Form

08/07/2000

Department	Box Number	User Box Number	Creation Date	To Date	Bar Code Number
OAK/CAPD	1436	CAPD/02 OF 23	08/10/1999	09/29/1989	%00001437

For Records Holding Area Only:

LBNL CONTRACT SF00098 FY82 - FY91
() (23 BOXES) CONTRACTS OVER 100K (G3/03/A1) (1/1/2005)
434-00-0086 / B / A / N / 43976 - 43998
SF00098

FILE 2-3
POST-NEGOTIATION STUFF
U.C. NEGOTITATION KICK-OFF (6 FOLDERS)

A927 0

FIKDER 3
A1950A126

FOLDER 4
FILE 5/WORK FOR OTHERS

FOLDER 5
WORK FOR OTHERS

FOLDER 6
WORK FOR OTHERS.

02/16/2000 3:42:09 PM

Signature of Records Officer: _____

Sharon Adams

US DEPARTMENT OF ENERGY /OAK OPS OFFICE

Box Detail Report in lieu of OF-212 Form

08/07/2000

Department	Box Number	User Box Number	Creation Date	To Date	Bar Code Number
OAK/CAPD	1465	CAPD/04 OF 23	08/24/1999	07/31/1991	%00001466

For Records Holding Area Only:

LBNL CONTRACT SF00098 FY82 - FY91
 () (23 BOXES) CONTRACTS OVER 100K (G3/03/A1) (1/1/2005)
 434-00-0086 / B / A / N / 43976 - 43998
 LBL ARCHIVES BOX FOUR
 File 6-2 DATE
 Folder one
 Standard Terms and Conditions 12/18/87-05/31/91
 LBL Terms and Conditions 04/85
 Folder two
 Instructions and Appendix Book Revisions FY90 03/82 - 04/90
 Policy and Procedure Approvals 04/82 - 10/82
 Correspondence 12/4/87 - 04/03/90
 Folder three
 File 6-3
 Increased Approval Levels for Consultant and Personal Service Approvals 01/24/90
 CPSR Final Report July 91
 CPSR Request for information 02/22/88
 Data for CPSR 03/10/88
 CPSR Summary Report 04/11/88 - 04/22/88
 CPSR Guide 10/89
 CPSR Annual Observations Report and Statistical Summary 1990
 Foreign Travel Request 8/22/85-3/5/90
 File 6-4
 Request for Determination - Supplies of Foreign Origin 01/06/82 - 05/01/84
 Agreements
 Subcontract # 593 7/87
 Subcontract #621 5/87
 Subcontract #631 5/86
 Folder four
 Subcontract #632 8/86
 Subcontract #633
 Folder five 8/86
 Subcontract #634 9/86
 Folder six
 Subcontract #638 2/87

Signature of Records Officer: _____

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US DEPARTMENT OF ENERGY /OAK OPS OFFICE

Box Detail Report in lieu of OF-212 Form

08/07/2000

Department	Box Number	User Box Number	Creation Date	To Date	Bar Code Number
OAK/CAPD	1464	CAPD/03 OF 23	08/24/1999	12/16/1991	%00001465

For Records Holding Area Only:

LBNL CONTRACT SF00098 / FY82 - FY91 / BOX 3/10

() (23 BOXES) CONTRACTS OVER 100K (G3/03/A1) (1/1/2005)

434-00-0086 / B / A / N / 43976 - 43998

LBL ARCHIVES BOX THREE

WORK FOR OTHERS AND SUBCONTRACTS

Description Date

Folder one

Agreements

BG89-112 and BG 89-140 for CCTP 06/09/89

BG 89-207 (00) CIEE Project 10/13/89

BG 90-024 Indoor Ozone Concentration 03/01/91

BG 90-025 Sensitivity Analysis 01/30/90

BG 90-027 Assessment of Residential evaporative Cooling Technologies in CA 01/30/90

BG 90-029 Analysis of Energy use in Building Service of the Industrial Sector in CA 01/30/90

BG 90-031 Integrated Estimation of Commercial Load-Shapes and Energy use Intensities 01/30/90

BG 90-033 High Albedo Materials for Reducing Building Cooling Energy Use 01/30/90

BG 90-044 Monitoring Peak Power and Cooling Energy Savings of White Surfaces and Shade Trees-Part I: Planning 11/16/89

BG 90-054 Low Heat Loss, Non-CPC-Based Appliance and Building Insulation 01/30/90

BG 90-055 Lighting Audit Tool: A precursor to an Expert System for Specifying Energy Efficient Lighting 02/28/90

BG 90-067 Novel molecular self-assembling systems for bioelectronics 05/10/90

BG 90-068 Analysis of SCE'S measured end-use data and development of commercial load-shapes and energy use intensities 12/17/90

BG 90-073 Efficient systems for thermal-energy distribution 01/30/90, 08/13/91

BG 90-076 CA Utility data base on monitored performance of efficient end-use technologies 01/30/90

BG 90-080 Analysis of energy efficiency and air quality 06/18/91

BG 90-082 Envelope/lighting technology to reduce electric demand - Phase I 01/30/90

BG 90-102 Low heat loss, non-CFC-based appliance and building insulation: initial prototype development project 02/13/90

B G 90-139 Lighting controls demonstration 02/16/90

BG 90-140 Window Studies 02/16/90

BG 90-225 Efficiency Device for Exhaust Hoods 06/06/90

BG 90-277 Evaporative emissions from automobiles in the south air basin 07/30/90

BG 90-301 Thermal energy storage systems: Evaluating and verifying system performance 08/24/90

FY 91

CIEE Management Plan 09/10/91

CIEE Notice of DOE Intellectual Property Rights 01/24/91

Agreements

DD 90-05 Efficiency device for exhaust hoods 08/10/90

DD 90-04 Evaporative Emissions from Automobiles in the south coast air basin 08/28/90

DD 90-03 Low heat loss, non-CFC-based appliance and building insulation: initial prototype development 04/04/90

EXP 90-11 Sensitivity analysis of RAPRENOx 08/10/90

EXP 90-10 High-Albedo materials for reducing building cooling energy use 08/13/90

EXP 90-09 Analysis of energy use in building services of the industrial sector in CA 08/13/90

EXP 90-08 Assessment of Residential evaporative cooling technologies in CA 08/08/90

EXP 90-07 Low heat loss, Non-CFC-based appliance and building insulation 08/10/90

LBL ARCHIVES BOX THREE

Description Date

Folder two

EXP 90-06 Integrated estimation of commercial load shapes and energy use intensities 08/10/90

EXP 90-05 Lighting Audit Tool: A precursor to an expert system for specifying energy efficient lighting 08/10/90

EXP 90-03B Thermal energy storage systems: evaluating and verifying system performance 08/13/90

EXP 90-02A Envelope and lighting technology for reducing electric demand 05/13/90

B 90-01A Efficient systems for thermal energy distribution 05/08/90

B 90-02A Interagency agreement 03/06/89

BG 91-004 integrated estimation of load shapes and end-use energy intensities in commercial and residential buildings 10/09/90

BG 91-005 Peak power and cooling energy savings of shade trees and white surfaces in SMUD service territory 10/11/90

BG 91-039 Assessment of peak power reduction potential of radiant cooling systems 03/01/91

BG 91-041 Design of a Phase-2 study of sick building syndrome 03/01/91

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Page: 1

US DEPARTMENT OF ENERGY /OAK OPS OFFICE

Box Detail Report in lieu of OF-212 Form

08/07/2000

Department	Box Number	User Box Number	Creation Date	To Date	Bar Code Number
BG 91-045	Computer evaluation tools for commercial building conservation and demand-side management programs		03/01/91		
BG 91-047	Spectrally selective glazings for residential retrofits		03/01/91		
BG 91-157	Energy efficient, low-NOx and -CO burners for residential and small industrial and commercial gas appliances		11/27/91		
BG 91-167	The energy performance of Soviet/German modular housing technology		04/05/91		
BG 91-229	Analysis of metered residential end-use data		06/18/91		
BG 91-304	Improved optimization of energy efficiency and load shaping through lighting controls: A Scoping Study		08/14/91		
	Folder three				
	FILE 6-1				
	76SF00098 Subsequent Review #5 May 16 -20, 1983				
	Special Follow-up Review Plan Facilities April 29 - May 1, 1985				
	Folder four				
	Data for CPSR 1985 10/85 - 02/86				
	CPSR Correspondence 7/16/82-12/16/91				
	LBL CPSR Submittals 6/91				
	Folder five				
	Attachment A Important Forms				
	File 6-2				
	Procurement Policies and Procedures FY82-FY89				

Signature of Records Officer: _____

Sharon Adams

US DEPARTMENT OF ENERGY /OAK OPS OFFICE

Box Detail Report in lieu of OF-212 Form

08/07/2000

Department	Box Number	User Box Number	Creation Date	To Date	Bar Code Number
OAK/CAPD	1466	CAPD/05 OF 23	08/24/1999	03/31/1991	%00001467

For Records Holding Area Only:

LBNL CONTRACT SF00098 FY82 - FY91

() (23 BOXES) CONTRACTS OVER 100K (G3/03/A1) (1/1/2005)

434-00-0086 / B / A / N / 43976 - 43998

Description Date

Folder one

Subcontract #640 Subcontractor NH Sjoberg & Son 7/87

Subcontract #641 Subcontractor BAC-Pritchard Inc 4/87

Folder two

Subcontract #642 Subcontractor BAC-Pritchard Inc 4/87

Subcontract #643 Subcontractor Walkden Fire Sprinkler Inc 6/87

Subcontract #644 Subcontractor Imperial Elevator Co., Inc

Folder three 10/87

Subcontract #647 Subcontractor AP Technology Management, Inc 7/87

Subcontract #648 Subcontractor Red Top Electric Co., Emeryville, Inc 11/87

Subcontract #649 Subcontractor Interstate Construction, Inc 2/88

Subcontract #652 Subcontractor E R Adams, Inc 4/88

Folder four

Subcontract #654 Subcontractor Bay Pacific Pipelines, Inc 7/88

Subcontract #658 Subcontractor C Overra & Company 8/88

Folder five

Subcontract #677 Subcontractor Scott Co. of California 3/91

Signature of Records Officer: _____

Sharon Adams

US DEPARTMENT OF ENERGY /OAK OPS OFFICE

Box Detail Report in lieu of OF-212 Form

08/07/2000

Department	Box Number	User Box Number	Creation Date	To Date	Bar Code Number
	03/01/90 - 08/29/90				
	Report on Complimentary and Beneficial University Activities Related to the Laboratories, Contracts 36, 48, and 98				02/19/88 - 01/24/89
	Correspondence from attorney				12/22/80 - 06/18/90
	Transmittal of Order/Agreement				
	40NANB delivery order				06/26/85
	DX-5-05070-1 purchase order				06/26/85
	2-CRS-RS071-1 purchase order				06/26/85
	85-879 inter-agency cost reimbursement order				06/26/85
	US 476270-M purchase order				06/26/85
	SW89930607-01-1 equipment purchase				06/26/85
	2-CR5-W4257-1 purchase order				06/26/85
	US 464330-M purchase order				06/26/85
	US 464340-M purchase order				06/26/85
	C-452726 purchase order				06/26/85
	US 464335-M purchase order				06/26/85
	US 408320-MB purchase order				06/26/85
	Correspondence and quarterly reports				01/05/89 - 09/25/91
	Folder three				
	FM Related correspondence				07/27/89 - 06/27/91
	Preliminary data LBL institutional plan				FY 1986 - FY 1996
	Report on the 1990 review of personal property management at LBL				11/01/90
	UC request for exemption from obligations of new M&O contract technology transfer clause				01/16/91
	Memo re: UC Technology Transfer Clauses				06/29/90, 09/10/90, 09/17/90
	Agreement between DOE and UC Regents LLNL proposal L-960				11/289/89

Signature of Records Officer: _____

Sharon Adams

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US DEPARTMENT OF ENERGY /OAK OPS OFFICE

Box Detail Report in lieu of OF-212 Form

08/07/2000

Department	Box Number	User Box Number	Creation Date	To Date	Bar Code Number
OAK/CAPD	1556	CAPD/09 OF 23	08/26/1999	09/25/1991	%00001557

For Records Holding Area Only:

LBL CONTRACT SF00098 FY82 - FY91

() (23 BOXES) CONTRACTS OVER 100K (G3/03/A1) (1/1/2005)

434-00-0086 / B / A / N / 43976 - 43998

LBL ARCHIVES BOX NINE

Description Date

Folder one

File 6-5 Buy American

Request for Approval of purchase action under contract 48 or 98

3281400 Material and Molecular research 04/15/86

3872202 Basic Energy Sciences 06/09/86

3872302 Basic Energy Sciences 06/09/86

4540310 modification of article 6B 07/21/86

7303600 Multiprogram 12/04/85

LRL-10-4120-87 various 09/17/86

Personal Service Agreements

4015500 Michael Rhea & Associates 04/15/86

4015600 BTU Consultants 04/15/86

Consultant Agreements

4015700 Entek 04/15/86

4015800 O'Brien and Associates 04/15/86

Request for Determination Supplies FY86 - FY90

Memo Subcontracting plans for contract 48 and 98 01/06/87

FY88 Subcontracting Goals for contract 98 04/16/86 - 03/25/88

DOE F4200.4 Quarterly subcontracting report and SF 294 reports 01/16/91

Self-Nomination for the secretary of energy's small business awards program 11/30/90

Library and Non-library subscription Agency services 09/06/91

Request for waiver of small business set aside for four building automation system projects 06/28/91

1990 DOE-OSDBU National Conference 06/22/90

Self-Nomination for the secretary of energy's small business awards program 11/09/89

Small disadvantaged business set asides 04/18/89

Request to renew order with Bay Temporaries Inc for temporary clerical help 03/10/89

1988 DOE-OSDBU National Conference 10/28/88

FY 1989 DOE-SBA 8(A) Procurement Actions 08/22/89

FY 1988 Division appraisal summary for LBL 09/02/88

Response to letter sent from National Wholesalers 05/12/89

Draft Selected examples of contractor initiatives in productivity and cost avoidance 02/89

Memo re: Cost management system 11/06/88

Study of overhead activities and costs at DOE contractor laboratories 09/16/86

Draft Study of overhead activities and costs at DOE contractor laboratories 09/15/86

Request for FY 1986 LLNL, LBL and SLAC Division Summaries 09/16/86

DOE overhead study 09/04/86

DOE overhead study: Lawrence Berkeley Laboratory 08/22/86

DOE Initiative to enhance cost effectiveness of M&O contractor operations 08/08/86

Study of overhead activities and costs at DOE Contractor Laboratories 06/23/86

Improved cost effectiveness of management and operating (M&O) Contractor overhead operations 12/06/85

Lawrence Berkeley Laboratory Overhead Appraisal 08/05/85

LBL ARCHIVES BOX NINE

Folder two

Approval of LBL Actual FY 1990 01/17/91

Approval of LBL Provisional FY 1991 10/30/90

DOE/SAN Overhead review of LBL 12/07/89

Cost savings report for the LBL first half FY 1990 06/22/90

Rate agreement colleges and universities 01/31/90

FOCI determination for Science Applications International Corporation (SAIC) 07/17/85

Delegation of subcontract administration to other agencies 02/05/90

Professional liability Insurance 01/07/91 - 08/16/91

LBL Research Review Fall/Winter 1987 and 1988

Report on LBL 1987

Fourth Annual Report on Complimentary and Beneficial University Activities Related to the Laboratories, Contracts 36, 48, and 98 03/01/91 - 05/24/91

Third Annual Report on Complimentary and Beneficial University Activities Related to the Laboratories, Contracts 36, 48, and 98

Signature of Records Officer: _____

Page: 1

Sharon Adams

US DEPARTMENT OF ENERGY /OAK OPS OFFICE

Box Detail Report in lieu of OF-212 Form

08/07/2000

Department Box Number User Box Number Creation Date To Date Bar Code Number

UC Processes to be evaluated
UC Management Issues Comments from Sarah Eary
Attendance at Subcommittee Meeting 01/23/97-01/25/97
Assessment of Management Improvements 11/08/90
Issue papers for UC Renewal Subcommittee 01/11/91
Software License Agreement Order #390362 06/26/86
TCS License Agreement Order # 4015302 modification to article 16 06/26/86

Signature of Records Officer: _____
Sharon Adams

US DEPARTMENT OF ENERGY /OAK OPS OFFICE

Box Detail Report in lieu of OF-212 Form

08/07/2000

Department	Box Number	User Box Number	Creation Date	To Date	Bar Code Number
OAK/CAPD	1557	CAPD/10 OF 23	08/26/1999	12/16/1991	%00001558

For Records Holding Area Only:

LBNL CONTRACT SF00098 FY82 - FY91
 () (23 BOXES) CONTRACTS OVER 100K (G3/03/A1) (1/1/2005)
 434-00-0086 / B / A / N / 43976 - 43998
 LBL ARCHIVES BOX TEN
 Description Date
 Folder one
 Patent office list of documents received from contractor 03/13/85 - 12/16/91
 Abridged invention disclosure 08/12/85 - 11/20/91
 Transmittal of invention disclosure 04/16/87 - 12/16/91
 Acknowledgement of invention disclosure 03/04/85 - 12/03/91
 Folder two
 Final patent clearance 02/13/85 - 03/14/91
 Case assignment notification 08/07/85 - 04/09/90
 Patent assignment notification 04/11/85 - 01/11/91
 Estimate request for patent application preparation 02/28/82 - 03/17/88
 Folder three
 Waiver request 05/23/85 - 03/28/91
 Notification of receipt of transmittal 06/19/85 - 05/07/91
 Notice of allowance 04/11/85 - 02/03/85
 Invention disclosure notice 04/25/90 - 05/22/91
 Notice of assignments 05/29/85 - 12/18/90
 Petition identified waiver 07/17/85 - 04/28/89
 Issued patent and trademark 04/08/85 - 11/20-91
 Non-interest notification 02/28/85 - 04/01/88
 Request for patent clearance
 Folder four
 Invention disclosure information 03/13/85 - 11/13/87
 Patent miscellaneous correspondence
 Confirmatory license correspondence 08/28/85 - 05/09/91
 Miscellaneous administrative correspondence 1985 - 1991
 Folder five
 Request for waiver of an identified invention 09/12/85 - 08/29/89
 Correspondence re: patent certification for FY 1988 at LBL 01/10/89
 Recent papers submitted and reports issued for LBL 10/87 - 09/88

Signature of Records Officer: _____

Sharon Adams

US DEPARTMENT OF ENERGY /OAK OPS OFFICE

Box Detail Report in lieu of OF-212 Form

08/07/2000

Department	Box Number	User Box Number	Creation Date	To Date	Bar Code Number
OAK/CAPD	1492	CAPD/06 OF 23	08/25/1999	10/31/1991	%00001493

For Records Holding Area Only:

LBNL CONTRACT SF00098 / FY82 - FY91 / BOX 6/10
 () (23 BOXES) CONTRACTS OVER 100K (G3/03/A1) (1/1/2005)
 434-00-0086 / B / A / N / 43976 - 43998

LBL ARCHIVES BOX SIX

Description Date

Agreements

Folder one

Subcontract 5019 Subcontractor MBT Associates 5/87

Subcontract 5021 Subcontractor Keller and Gannon * Tudor 4/85

Folder two

Subcontract 5022 Subcontractor Keller and Gannon * Tudor 1/87

Subcontract 5023 Subcontractor Keller and Gannon * Tudor 04/87

Subcontract 5024 Subcontractor G T Kuntz & Co 7/87

Subcontract 5025 Subcontractor YEI Engineers, Inc 6/87

Folder three 8/87

Subcontract 5026 Subcontractor Harding Lawson Associates

Subcontract 5027 Subcontractor Geo/Resource Consultants, Inc 8/87

Subcontract 5028 Subcontractor YEI Engineers, Inc 6/87

Subcontract 5029 Subcontractor Gayner Engineers 7/87

Subcontract 5030 Subcontractor Keller & Gannon 7/87

Subcontract 5031 Subcontractor YEI Engineers, Inc 7/87

Folder four

Subcontract 5032 Subcontractor Johnson Engineering Corporation 7/87

Subcontract 5033 Subcontractor United Engineering Technology, Inc 7/87

Subcontract 5034 Subcontractor Sverdrup Corporation 2/88

Subcontract 5035 Subcontractor IRA Fink and Associates, Inc 5/88

Subcontract 5036 Subcontractor EIP Associates 9/87

Subcontract 5037 Subcontractor Engle & Engle Structural Engineers 9/87

Subcontract 5039 Subcontractor KENNEDY/JENKS/CHILTON, Inc 3/88

Folder five

Subcontract 3499800 Subcontractor GSE Construction 10/91

Subcontract 4529110 Subcontractor Contel Business Networks, Inc 7/87

Signature of Records Officer: _____

Sharon Adams

US DEPARTMENT OF ENERGY /OAK OPS OFFICE

Box Detail Report in lieu of OF-212 Form

08/07/2000

Department	Box Number	User Box Number	Creation Date	To Date	Bar Code Number
OAK/CAPD	1550	CAPD/08 OF 23	08/25/1999	02/22/1991	%00001551

For Records Holding Area Only:

LBNL CONTRACT SF00098 FY82 - FY91 BOX 8/10

() (23 BOXES) CONTRACTS OVER 100K (G3/03/A1) (1/1/2005)

434-00-0086 / B / A / N / 43976 - 43998

LBL ARCHIVES BOX EIGHT

Description Date

Folder one

Personal Service Agreement

4010800 subcontractor De A Eggers Medical Account Management and Consulting 12/86

4011400 subcontractor Black & Veatch Consulting Engineers of Kansas City, Mo 04/82

4013400 subcontractor Engle and Engle Structural Engineers 12/86

4015300 subcontractor De A Eggers Medical Account Management and Consulting 11/87

4015500 subcontractor Michael Rhea and Associates 03/87

4016100 subcontractor GA Technologies, Inc 07/86

4016300 subcontractor Engle and Engle Structural Engineers 11/87

4016400 subcontractor Hansen Associates 02/87

4016500 subcontractor Water & Energy Management Co. 07/87

4016900 subcontractor IRA Fink & Associates 12/87

4017100 subcontractor H. Sasaki, Inc 06/88

4017400 subcontractor Advanced Industrial Designs, Inc 03/89

4017500 subcontractor M H Chew & Associates, Inc 03/89

4017700 subcontractor Kleinfelder, Inc 10/89

4017800 subcontractor Herzog Associates 10/89

4017900 Engle and Engle Structural Engineers 12/89

4018900 Environmental and Occupational Risk Management 07/91

4019100 De A Eggers Medical Account Management and Consulting

Memo Plasma Physics Corporation 07/02/88

Consultant Agreements

4160300 Professor James Draper 03/11/85

4191300 modification of article 4 Dr Bruce A Bolt 01/08/91

Folder two

Leases

Request for approval Lease building alteration 05/02/90

Realty documents regarding building #6 & Advance Light Source Project 02/25/88

3378700 Approval of lease with Trans-Action Commercial Investors Ltd. 08/12/88

3395100 Approval of lease with Joseph T. Ryerson & Son, Inc. 09/01/88

3421800 Approval of lease with Lowenberg Corporation 2/89

3432400 Lease agreement with Ms Janet Buchan 09/10/90

Request for Approval of Purchase Action under Contract 48 or 98

Folder three

3377800 Craft Labor 11/21/88

3377800 Construction and maintenance 02/22/91

3414800 Graphic Arts 10/26/88

3468200 Personnel 07/25/90

3474800 Advance payment covering maintenance 09/13/90

4557310 modification of Terms and conditions 03/10/89

Increased Approval Levels for Consultant and Personal Service Agreements 12/21/89

Request for approval Blanket Order 3471200 6/22/90

Memo of Agreement covering LEAR Environmental Restoration Activities 3/13/90

Revisions to LBL/LLNL Procurement Guide 04/04/89 - 05/02/89

Request of change to Standard Forms under Contracts 48 & 98 6/13/88-12/11/90

Agreement for the Operation of Chemical Waste Management Facility 08/14/85 - 02/04/87

Folder four

Building 62 Shared Energy Savings Project including appendices A,B,D 09/12/88

LBL ARCHIVES BOX EIGHT

Description Date

Folder five

Request for Field nominations for a DOE Pilot Test of Shared Energy Savings 12/23/88

OSHA Compliance Inspection of Building 331 4/27/84-1/14/88

Audits of the State of Hawaii 11/01/88

Folder six

Subcommittee for the review of improvements needed in UC Management 11/16/90

Signature of Records Officer: _____

Sharon Adams